

**Item 1: Cover Page  
Part 2B of Form ADV: Brochure Supplement  
January 2021**

**John Stachnick**



**2105 South Bascom Ave, Suite 295  
Campbell, CA 95008  
[www.kfstrategies.us](http://www.kfstrategies.us)**

**Firm Contact:  
John Stachnick  
Chief Compliance Officer**

This brochure supplement provides information about John Stachnick that supplements our brochure. You should have received a copy of that brochure. Please contact Saadia Ahmed if you did not receive Kaizen Financial Strategies, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Stachnick is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD #4881131.

## Item 2: Educational Background & Business Experience

**John Stachnick**

**Year of Birth:** 1979

### **Educational Background:**

- 2002: University of California, San Diego; Bachelor of Arts in Political Science & History

### **Business Background:**

- 11/2018 – Present Kaizen Financial Strategies, LLC; Chief Compliance Officer & Principal Advisor
- 03/2005 – 11/2018 Waddell & Reed; Financial Advisor
- 10/2004 – 06/2018 Savannah Chanelle Vineyards; Tasting Room Staff

### **Exams, Licenses & Other Professional Designations:**

- 01/2005: Series 7 Exam
- 03/2005: Series 66 Exam
- 02/2005: California Insurance License (Life, Accident & Health)
- 03/2005: California Variable Contracts

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Mr. Stachnick.

## Item 4: Other Business Activities

Mr. Stachnick is a licensed insurance agent/broker. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Stachnick, as a fiduciary, will act in the client's best interest.

## Item 5: Additional Compensation

Mr. Stachnick has received assistance covering transition-related legal, software and accounts transfer costs from Charles Schwab & Co. Inc. ("Schwab") in order to transition his business to the

<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Schwab custodial platform. Our firm examined this potential conflict of interest when our firm chose to recommend Schwab and has determined that the recommendation is in the best interest of our firm's clients and satisfies our fiduciary obligations, including our duty to seek best execution.

## **Item 6: Supervision**

Saadia Ahmed is a principal of Kaizen Financial Strategies, LLC and as such supervises and monitors Mr. Stachnick's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mrs. Ahmed if you have any questions about Mr. Stachnick's brochure supplement at (408) 819-9010 x 1002.