

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
March 2024**

Saul Richard Greene, JD



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**Firm Contact:
John Stachnick
Chief Compliance Officer**

This brochure supplement provides information about Saul R. Greene that supplements our brochure. You should have received a copy of that brochure. Please contact John Stachnick if you did not receive Kaizen Financial Strategies, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Greene is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #4453934.

Item 2: Educational Background & Business Experience

Saul Richard Greene, JD

Year of Birth: 1953

Educational Background:

- 1976: University of California at Santa Cruz; Bachelor of Arts in Political Science
- 1980: Santa Clara University; Juris Doctor

Business Background:

- 11/2018 – Present Kaizen Financial Strategies, LLC; Principal Advisor
- 02/2017 – 04/2019 Cyberpress; Consultant
- 06/2009 – 11/2018 Waddell & Reed; Financial Advisor
- 09/2001 – 05/2009 Ameriprise Financial; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 10/2001: Series 66 Exam
- 09/2001: Series 7 Exam

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Greene.

Item 4: Other Business Activities

Mr. Greene does not have any outside business activities to report.

Item 5: Additional Compensation

Mr. Greene has received assistance covering transition-related legal, software and accounts transfer costs from Charles Schwab & Co. Inc. (“Schwab”) in order to transition his business to the Schwab custodial platform. Our firm examined this potential conflict of interest when our firm chose to recommend Schwab and has determined that the recommendation is in the best interest of our firm’s clients and satisfies our fiduciary obligations, including our duty to seek best execution.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Item 6: Supervision

John Stachnick, Chief Compliance Officer of Kaizen Financial Strategies, LLC, supervises and monitors Mr. Greene's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Stachnick if you have any questions about Mr. Greene's brochure supplement at (408) 819-9010 x 1003.